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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

TÄHTINEN et al

Serial No. 09/622,976

Filed: August 25, 2000

For: SELF-REPLICATING VECTOR FOR DNA
IMMUNIZATION AGAINST HIV

Atty. Ref.: 227-135

Group:

Examiner:

* * * * *

October 6, 2000

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

SMALL ENTITY CLAIM AND REFUND REQUEST

Please accept the Small Entity Verified Statement attached hereto and refund one-half of the fee paid on August 25, 2000 in the above-identified case by crediting \$485 to our account no. 14-1140 under Order No. 227-135.

When this is approved, please return a copy of this request marked "approved"
directly to the undersigned for which purposes this request is submitted in triplicate.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: _____

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Commissioner for Patents
Washington, DC 20231
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Bib Data Sheet

10/04/2000

CONFIRMATION NO. 6995

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|-----------------------------|-----------------------------------|--------------|------------------------|-----------------------------------|
| SERIAL NUMBER 09/622,976 | FILING DATE 09/02/4999 RULE | CLASS 188 | GROUP ART UNIT 1648 | ATTORNEY DOCKET NO. 227-135 |
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APPLICANTS

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**** CONTINUING DATA *******

▷ This application is a 371 of PCT/FI99/00152 02/26/1999

**** FOREIGN APPLICATIONS *******

▷ FINLAND 980463 02/27/1998

**** SMALL ENTITY ****

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|---------------------------------|--|--------------------------------|-------------------|-----------------|-----------------------|
| Foreign Priority claimed | <input checked="" type="checkbox"/> yes <input type="checkbox"/> no | STATE OR COUNTRY FINLAND | SHEETS DRAWING | TOTAL CLAIMS | INDEPENDENT CLAIMS |
| 35 USC 119 (a-d) conditions met | <input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance | A1 | | | |
| Verified and Acknowledged | Examiner's Signature | Initials | | | |

ADDRESS

23117

TITLE

Self-replicating vector for DNA immunization against HIV

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|-------------------------------|---|---|
| FILING FEE RECEIVED 495 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit |
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